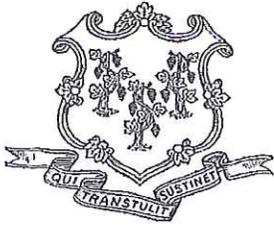


OAG



STATE OF CONNECTICUT
DEPARTMENT OF SOCIAL SERVICES

CONTRACT AMENDMENT

Contractor: ACCESS INDEPENDENCE , INC.
Contractor Address: 80 FERRY BOULEVARD, STRATFORD, CT 06615
Contract Number: 138DRC-MFP-02 / 12DSS7101HU
Amendment Number: A3
Amount as Amended: \$372,960
Contract Term as Amended: 07/01/12 - 06/30/15

The contract between **Access Independence** (formerly known as Disability Resource Center of Fairfield County, Inc. (the Contractor)), and the Department of Social Services (the Department), which was last executed by the parties and signed by the Commissioner on 1/13/2014, is hereby amended as follows:

CMC
For AU
RWD
For DSS

1. The Contractor entered into a contract with the Department to provide services under this agreement as the Disability Resource Center of Fairfield County. Effective June 1, 2014, the Contractor changed its name to **Access Independence**.
2. The funding of the contract is increased in the amount of \$132,000, and the total contract award is changed from \$240,960 to \$372,960.
3. Part 1 Section B.4.a., titled DESCRIPTION OF SERVICES FOR NURSING FACILITY TRANSITION PROJECT (NFTP) of *Amendment One (1)* shall be deleted in its entirety and replaced with.

CMC
For AU
RWD
For DSS

This contract will fund one (1) full-time equivalent Transition Coordinators and one (1) full-time equivalent Housing Coordinator.

4. Part 1, Section C, titled PROGRAM ADMINISTRATION of the original contract shall be **amended to include a new line, numbered eight (8.)**, inserted after portion numbered seven (7) , by adding the following:

The Contractor shall purchase iPads, along with a case and apps to be selected by the Department for each Transition Coordinator and Housing Coordinator funded by this contract. The Contractor will provide a data plan to support each iPad. The Contractor must be in continual compliance with the DAS BEST (<http://www.ct.gov/best/site/default.asp>) State Security Policies.

5. The term of the contract is extended for an additional twelve (12) months and the end date of the contract shall be changed from 06/30/14 to 06/30/15.

CAC
For AU
RW
For DSS

6. ~~Part 1, Section A. 3, titled DESCRIPTION OF SERVICES of the original contract, shall be amended increasing the minimum ongoing case load from fifteen (15) to twenty (20);~~

~~Throughout the contract period the Contractor shall maintain a minimum ongoing caseload of 20 active MFP participants receiving MFP transition services and 10 MFP participants post transition per full time equivalent MFP Transition Coordinator, with a maximum time in transition from Department referral to discharge of 180 days.~~

7. Part 1, Section A, 4.d., titled DESCRIPTION OF SERVICES of Amendment One (1) shall be deleted in its entirety and replaced with:

Complete and submit required electronic intake information to UCONN database within 48 hours of first meeting.

Complete and upload to the "web" all required MFP forms, including SNAP application, Cash application, and other related forms no less than two weeks prior to discharge. Any documents that are not available for upload, must be scanned and submitted securely to MFP Project office. Failure to adhere to this term may result in the Contractor being financially obligated for the assistance amount eligible to the MFP participant.

8. Part 1, Section A, 4.i, titled DESCRIPTION OF SERVICES of Amendment One (1) shall be deleted in its entirety and replaced with :

Follow up with each MFP participant for 6 months post discharge, this includes Elders, DDS Consumers and DMHAS Clients, and, 12 months for anyone not followed by a Case Manager, this would include State Plan Services, PCA and ABI; 12 months post discharge according to the following schedule unless otherwise specified by the Specialized Care Manager (SCM) :

- i. In person visit within 3 business days of discharge from institution;
- ii. In person visit within 30 days of discharge from institution;
- iii. In person visit within 60 days of discharge from institution;
- iv. Phone contact monthly beginning 90 days post discharge.

9. Part 1, Section L. 1, titled FINANCIAL REPORTING REQUIREMENTS of Amendment One (1)] shall be amended by deleting the part in its entirety and replace the terms with the following:

The Contractor shall submit financial reports to the Department of the Department's DSS-304 and DSS-305 forms on, or before, November 30, March 31, and September 30 of each year during the contract period. The Revised Final Financial report shall be due on September 30, 2015.

10. Part 1, Section L. 4, titled FINANCIAL REPORTING REQUIREMENTS in Amendment One (1)] shall be amended by deleting the part in its entirety and replace the terms with: Revised Reporting Period and Submission Due Dates schedule (below);

Reporting Period	Submission Due Date
July 1, 2014 – October 31, 2014	November 30, 2014
November 1, 2014 – February 28, 2015	March 31, 2015
March 1, 2015 – June 30, 2015	September 30, 2015

11. Part 1, Section M. 1, labeled PAYMENT PROVISIONS in Amendment One (1) shall be amended by deleting the part in its entirety and replace the terms with the following:

- a. The Department shall pay the Contractor for services rendered in accordance with terms of this contract up to a maximum amount not to exceed \$132,000 for the contract period **July 1, 2014 through June 30, 2015**.

12. Part 1 Section M. 4, labeled PAYMENT PROVISIONS in Amendment One (1) shall be amended by deleting the part in its entirety and replace the terms with the following: The Revised Payment Schedule (below);

	Annual	Signed Contract	Period 2 10/31/2014	Period 3 1/31/2015
MFP TC	\$66,000	\$22,000	\$22,000	\$22,000
MFP Housing	\$66,000	\$22,000	\$22,000	\$22,000
Total	\$132,000	\$44,000	\$44,000	\$44,000

13. Part 1 Section M. 9, labeled PAYMENT PROVISIONS in Amendment One (1) shall be amended by deleting the part in its entirety and replace the terms with the following:

Performance Evaluation and Bonuses: Benchmarks will be established for minimum performance standards for Transition Coordinators. The Department will evaluate the contractor's performance and may issue performance bonuses subject to available funds for superior performance. **Should a bonus be awarded, 50% of the bonus funding must go directly to the staff.**

14. Part 1 Section N, labeled BUDGET PROVISIONS in Amendment One (1) shall be amended by deleting the part in its entirety and replace the terms with the following: Revised budget (below);

During the period **July 1, 2014 through June 30, 2015** the Contractor agrees to utilize Department funds in accordance with the following budget.

Contractual Services	MFP Housing	MFP TC	TOTAL
Personnel Supervisor Salary	\$3,333	\$3,333	\$6,666
Personnel Salaries	\$46,500	\$46,500	\$93,000
Fringe	\$9,598	\$9,483	\$19,081
Travel	\$2,640	\$2,640	\$5,280
Supplies	\$66	\$181	\$247
Equipment	\$0	\$0	\$0
Other	\$720	\$720	\$1,440
Total Direct	\$62,857	\$62,857	\$125,714
Indirect	\$3,143	\$3,143	\$6,286
In Kind	\$0	\$0	\$0
Grand Total	\$66,000	\$66,000	\$132,000
Vacancy Savings Period 1	\$0	\$0	\$0
NET TOTAL	\$66,000	\$66,000	\$132,000

~~This document constitutes an amendment to the above numbered contract. All provisions of that contract, except those explicitly changed above by this amendment, shall remain in full force and effect.~~

CAC
For AU

AMB
For DSS

All terms and conditions of the original contract, and any subsequent amendments thereto, which were not modified by this Amendment remain in full force and effect

SIGNATURES AND APPROVALS

138DRC-MFP-02 / 12DSS7101HU A3

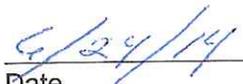
The Contractor IS a Business Associate under the Health Insurance Portability and Accountability Act of 1996 as amended.

Documentation necessary to demonstrate the authorization to sign must be attached.

CONTRACTOR – ACCESS INDEPENDENCE, INC.



Charlie Conway, *Executive Director*



Date

DEPARTMENT OF SOCIAL SERVICES

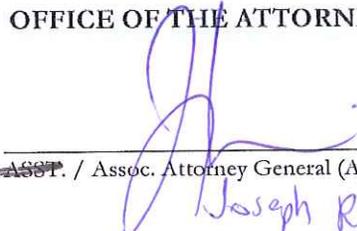


Roderick L. Bremby, *Commissioner*



Date

OFFICE OF THE ATTORNEY GENERAL



~~ASSP.~~ / Assoc. Attorney General (Approved as to form & legal sufficiency)
Joseph Rubin



Date