



**STATE OF CONNECTICUT**  
 DEPARTMENT OF SOCIAL SERVICES  
 25 SIGOURNEY STREET • HARTFORD, CONNECTICUT 06106-5033

November 20, 2009

Mr. James H. Gatling  
 President/Chief Executive Officer  
 New Opportunities, Inc.  
 232 North Elm Street  
 Waterbury, CT 06702

Contract #: 151C-WXA-1/09DSS7601TO  
 Period: 07/01/09 - 06/30/12

Amount as Amended: \$14,484,029  
 Amendment #: A1

Dear Mr. Gatling:

I am pleased to inform you that Amendment A1 for the above referenced contract has been fully executed and approved. Attached is a scanned copy of the original amendment for your files.

Requests for Payment should be completed and directed to the program contact identified below. The Department will process requests for payment in accordance with the terms of the contract. Your receipt of payment is contingent upon the continued availability of funds and your agency's compliance with the terms of the contract.

For issues or concerns related to the Program please direct your inquiries to:

**PROGRAM**

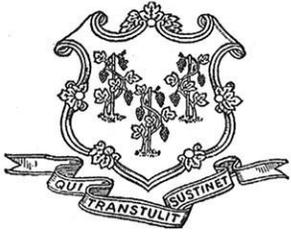
**Josephine Caruso**  
 (860) 424-5885  
*josephine.caruso@ct.gov*

Sincerely,

Julia K. Lentini  
 Staff Attorney

- C: Josephine Caruso, *Program Staff, Aging, Community and Social Work Services*
- Pamela Giannini, *Director, Aging, Community and Social Work Services*
- Contract file

STATE OF CONNECTICUT  
DEPARTMENT OF SOCIAL SERVICES



CONTRACT AMENDMENT

Contractor: New Opportunities, Inc.  
 Contractor Address: 232 North Elm Street  
 Waterbury, CT 06702

Contract Number: 09DSS7601TO / 151C-WXA-1  
 Amendment Number: 1  
 Amount as Amended: \$14,484,029  
 Contract Term as Amended: 07/01/09 – 06/30/12

The contract between New Opportunities, Inc. (*the Contractor*) and the Department of Social Services (the Department), which was last executed by the parties and signed by the Attorney General on 09/21/09 is hereby amended as follows:

1. The total maximum amount payable under this contract is increased by \$3,285,000 from \$11,199,029 to \$14,484,029.
2. The increase is to provide funding to support the weatherization of up to 500 state-financed multi-family housing units in the Waterbury Service Area through a pilot demonstration project that will be monitored by DECD but financed by DSS. The terms of the pilot demonstration project and the list of units to be weatherized will be provided by DECD to the Contractor in accordance with the provisions of a Memorandum of Agreement between DSS and the Department of Economic and Community Development (DECD).
3. DECD shall require that the services provided through the pilot demonstration project shall be in accordance with the ARRA WAP Connecticut State Plan and must adhere to the federal regulations and procedures established by the United States Department of Energy (USDOE) Weatherization Assistance Program for Low Income Persons.
4. DECD shall further require the Contractor to complete the weatherization of the units specified in the pilot demonstration project by a date certain but, in any event, not later than March 31, 2010 at which time DSS shall require the Contractor to direct any unspent dollars to the DECD to be used by DECD for the weatherization of units in that service area.
5. The Budget and Payment Provisions in Section L on page 10 is amended by the following:
  - a. For the performances of services and activities by the Contractor in accordance with the pilot demonstration project directed by DECD, the Contractor shall receive a total sum not to exceed \$3,285,000.00. Specific terms for the payment process for services

performed through the pilot demonstration project shall be dictated by DECD. DSS shall only be obligated to process those payment requests for payments associated with the pilot demonstration project if DECD has approved such request.

6. The budget on page 14(a) of original contract is supplemented by the budget of this amendment attached hereto.
7. This document constitutes an amendment to the above numbered contract. All provisions of that contract, except those explicitly changed above by this amendment, shall remain in full force and effect.

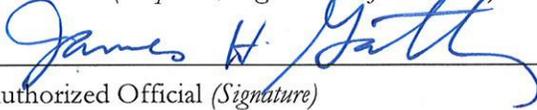
## ACCEPTANCES AND APPROVALS

*Documentation necessary to demonstrate the authorization to sign must be attached.*

### CONTRACTOR

NEW OPPORTUNITIES, INC.

Contractor (Corporate/Legal Name of Contractor)

  
Authorized Official (Signature)

10/26/09  
Date

James H. Gatling, President/CEO

Title

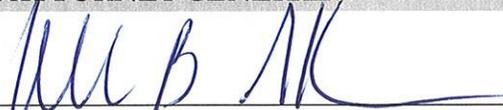
### DEPARTMENT OF SOCIAL SERVICES



IV CLAUDETTE BEAULIEU, Deputy Commissioner

11/4/09  
Date

### OFFICE OF THE ATTORNEY GENERAL



11/20/09  
Date

Assoc. ATTORNEY GENERAL (Approved as to form & legal sufficiency)

This contract does not require the signature of the Attorney General pursuant to an agreement between the Department and the Office of the Attorney General, dated \_\_/\_\_/\_\_.

**PROGRAM NAME: AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA)**

**CONTRACT # 151C-WXA-1**

**CORE-CT # 09DSS7601TO**

**PILOT PROJECT DATE: 10/23/2009 - 3/31/2010**

**NO (DECD)  
FINANCIAL SUMMARY**

	(a) Requested	(b) Adjustments	(c) Approved
<b>Total State Contract</b>	<b>3,285,000</b>		<b>3,285,000</b>
<b>For Amendments Only</b>			
<b>Previously Approved State Contract Amount of Amendment</b>			

BUDGET CATEGORY	USDOE BUDGET
MATERIALS	\$ 928,500
PROGRAM SUPPORT	2,321,500
LIABILITY INSURANCE	-
POLLUTION INSURANCE	-
ADMINISTRATION	35,000
FINANCIAL AUDIT	-
TRAINING & TECHNICAL ASSISTANCE	-
LEVERAGING	-
<b>TOTAL PROGRAM</b>	<b>\$ 3,285,000</b>

**TOTAL UNITS      500**  
 Waterbury                      500  
 State-Financed Multi-Family Housing